FIT3121
Archival systems

Unit Guide

Semester 1, 2013

The information contained in this unit guide is correct at time of publication. The University has the right to change any of the elements contained in this document at any time.

Last updated: 04 Mar 2013
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FIT3121 Archival systems - Semester 1, 2013

This unit relates to the fundamental role of recordkeeping professionals in society to provide access to recorded information in the form of essential evidence of social and organisational activity for business, commercial, governmental, social, and cultural purposes. It covers the role of recordkeeping in society and organisations, functional requirements for evidence, the formulation of recordkeeping policy, strategies and tactics, the establishment of recordkeeping regimes, business functional analysis, appraisal and disposal, the development of metadata schemas and their implementation in recordkeeping systems.

Mode of Delivery

Caulfield (Day)

Contact Hours

2 hr lecture/wk, 2 hr tutorial/laboratory/wk

Workload requirements

Workload commitments are:

*For on-campus students:*
Lectures: 2 hours per week
Tutorials/Lab Sessions: 2 hours per week per tutorial.

*Off-campus students* generally do not attend lecture and tutorial sessions, however, you should plan to spend equivalent time listening to lectures online via MULO and undertaking tutorial exercises.

*All students* spend an additional 8 hours per week engaging in discussion forms, completing lab and project work, undertaking assignments, private study and revision.

Unit Relationships

Prohibitions
FIT5087, IMS3610, IMS5010, LAR3631

Prerequisites
(FIT2074 or FIT2054) and 12 points of FIT level 2 units or equivalent

Chief Examiner

Dr Joanne Evans
Campus Lecturer

Caulfield

Joanne Evans
Consultation hours: Appointments arranged by email

Sue McKemmish
Consultation hours: Appointments arranged by email
Academic Overview

Learning Outcomes

At the completion of this unit students will:

- understand the role of records and archives in organisations and society;
- understand and apply theories and models relating to recordkeeping and archiving;
- be able to specify recordkeeping requirements relating to the creation, management, and accessibility of records as evidence of social and organisational activity in a range of business and social contexts;
- have the skills to develop appraisal and metadata management programs in relation to contemporary and historical recordkeeping systems, including electronic recordkeeping systems; and
- be able to formulate appraisal and metadata management policies, strategies, tactics and tools with reference to international and national standards and best practice.
# Unit Schedule

<table>
<thead>
<tr>
<th>Week</th>
<th>Activities</th>
<th>Assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td></td>
<td>No formal assessment or activities are undertaken in week 0</td>
</tr>
<tr>
<td>1</td>
<td>Introduction to FIT3121 Archival Systems, Evidence and Metadata: Key Concepts</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Recordkeeping Frameworks and Contexts: Part 1</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Recordkeeping Frameworks and Contexts: Part 2</td>
<td>Assignment 1: Task 1 due 22 March 2013</td>
</tr>
<tr>
<td>4</td>
<td>Recordkeeping Frameworks and Contexts: Part 3</td>
<td>Assignment 1: Task 2 due 29 March 2013</td>
</tr>
<tr>
<td>5</td>
<td>Archival Processes: Metadata Part 1</td>
<td>Assignment 1: Task 3 due 12 April 2013</td>
</tr>
<tr>
<td>6</td>
<td>Archival Processes: Metadata Part 2</td>
<td>Assignment 1: Task 4 due 19 April 2013</td>
</tr>
<tr>
<td>7</td>
<td>Archival Processes: Metadata Part 3</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Archival Processes: Access and Use Part 1</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Archival Processes: Access and Use Part 2</td>
<td>Assignment 2 due 10 May 2013</td>
</tr>
<tr>
<td>10</td>
<td>Archival Processes: Appraisal, Retention and Disposal Part 1</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Archival Processes: Appraisal, Retention and Disposal Part 1</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>Archival Processes: Appraisal, Retention and Disposal Part 1</td>
<td></td>
</tr>
<tr>
<td></td>
<td>SWOT VAC</td>
<td>No formal assessment is undertaken in SWOT VAC</td>
</tr>
</tbody>
</table>

*Unit Schedule details will be maintained and communicated to you via your learning system.

## Assessment Summary

Examination (2 hours): 50%; In-semester assessment: 50%

<table>
<thead>
<tr>
<th>Assessment Task</th>
<th>Value</th>
<th>Due Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assignment 1: Discussion Tasks 1-4</td>
<td>25%: note up to 20% of this mark (5 marks) will be deducted for non-participation in related tutorials.</td>
<td>Task 1 due 22 March 2013; Task 2 due 29 March 2013; Task 3 due 12 April 2013; Task 4 due 19 April 2013</td>
</tr>
<tr>
<td>Assignment 2: Metadata Project</td>
<td>25%: note up to 20% of this mark (5 marks) will be deducted for non-participation in related tutorials.</td>
<td>10 May 2013</td>
</tr>
<tr>
<td>Examination 1</td>
<td>50%</td>
<td>To be advised</td>
</tr>
</tbody>
</table>
Teaching Approach

Lecture and tutorials or problem classes

This teaching and learning approach provides facilitated learning, practical exploration and peer learning. Tutorials/problem classes are studio-style enabling hands-on learning where you interact with fellow students in a laboratory workroom.
Assessment Requirements

Assessment Policy

Faculty Policy - Unit Assessment Hurdles

Academic Integrity - Please see the Demystifying Citing and Referencing tutorial at
http://lib.monash.edu/tutorials/citing/

Assessment Tasks

Participation

On-campus students are expected to participate in all tutorials; all students are expected to complete
tutorial exercises and related discussion forums. The activities in these sessions are directly related to
the Assignments. Up to 20% of the marks for each assignment may be deducted if students do not meet
this requirement.

• Assessment task 1

Title: Assignment 1: Discussion Tasks 1-4

Description: There are four discussion topics, worth 6.25% each. A detailed assignment specification
will be provided.

Weighting: 25%; note up to 20% of this mark (5 marks) will be deducted for non-participation in
related tutorials.

Criteria for assessment: Criteria for assessment:
1. Degree to which postings demonstrate understanding of recordkeeping concepts.
2. Participation in discussions of postings with other students.
3. Participation in class exercises.

Due date: Task 1 due 22 March 2013; Task 2 due 29 March 2013; Task 3 due 12 April 2013; Task 4
due 19 April 2013

• Assessment task 2

Title: Assignment 2: Metadata Project

Description: Details will be provided in the assignment specification. Tutorial exercises and related
discussion postings will accumulate to form the assignment. Feedback and opportunity for
revision will be provided.

Weighting: 25%; note up to 20% of this mark (5 marks) will be deducted for non-participation in
related tutorials.
Criteria for assessment:
You will be assessed on the basis of:

1. Understanding, analysis and articulation of the project components.
2. Research and investigative effort.
3. Identification, coverage and use of relevant sources.
4. Presentation of findings, including citations and bibliography.
5. Participation in related tutorial exercises and discussion forum.

Further detailed guidelines will be provided.

Due date:
10 May 2013

Examinations

- Examination 1

Weighting:
50%

Length:
2 hours

Type (open/closed book):
Closed book

Electronic devices allowed in the exam:
None

Learning resources

Monash Library Unit Reading List
http://readinglists.lib.monash.edu/index.html

Feedback to you

Types of feedback you can expect to receive in this unit are:

- Informal feedback on progress in labs/tutes
- Graded assignments with comments

Extensions and penalties

Submission must be made by the due date otherwise penalties will be enforced.

You must negotiate any extensions formally with your campus unit leader via the in-semester special consideration process:
Returning assignments

Students can expect assignments to be returned within two weeks of the submission date or after receipt, whichever is later.

Referencing requirements

Students are required to cite their sources and provide reference lists using a published standard for referencing academic papers.

See the Library Guides for Citing and Referencing at http://guides.lib.monash.edu/content.php?pid=88267&sid=656564

Assignment submission

It is a University requirement (http://www.policy.monash.edu/policy-bank/academic/education/conduct/plagiarism-procedures.html) for students to submit an assignment coversheet for each assessment item. Faculty Assignment coversheets can be found at http://www.infotech.monash.edu.au/resources/student/forms/. Please check with your Lecturer on the submission method for your assignment coversheet (e.g. attach a file to the online assignment submission, hand-in a hard copy, or use an online quiz).

Online submission

All assignments and related tutorial exercises will be submitted online via the Moodle site.

Required Resources

Please check with your lecturer before purchasing any Required Resources. Limited copies of prescribed texts are available for you to borrow in the library, and prescribed software is available in student labs.

No specific software is required for this unit. You will need a browser to access the study materials on MULO, use electronic resources in the library, and to read and send email.

Prescribed text(s)

Limited copies of prescribed texts are available for you to borrow in the library.


Recommended Resources

Note re prescribed text:

Purchase of the prescribed text Archives: Recordkeeping in Society is strongly recommended. It is available from the CITSU Bookshop, Monash University. You can order via post (28 Sir John Monash Drive, Caulfield East, Vic 3145), telephone (9571 3277), fax (9563 5948) or email (orders@citsu.bookshop.com.au).
Other references:
Electronically delivered course notes, resource material and internet references will be provided during the course, available from the unit website. Books and electronic material available through the Caulfield campus library will be made available from a unit reading list.

Articles from the following journals are frequently cited:

- Archival Science
- Archivaria (journal of the Association of Canadian Archivists)
- Archives and Manuscripts (journal of the Australian Society of Archivists)
- iQ: the RMAA Quarterly (previously called Informaa] (journal of the Records and Information Management Association of Australasia)

Keeping up to date with the professional literature is an essential component of working in any field. It is recommended that you check on the availability of the key journals listed above via the Monash Library or at a library in your area. It is also recommended that you consider subscribing to Archives and Manuscripts. It will be assumed throughout FIT3121 that you have access to at least Archives and Manuscripts.

Recommended text(s)

Other Information

Policies

Monash has educational policies, procedures and guidelines, which are designed to ensure that staff and students are aware of the University’s academic standards, and to provide advice on how they might uphold them. You can find Monash’s Education Policies at: www.policy.monash.edu.au/policy-bank/academic/education/index.html

Key educational policies include:

- Plagiarism; http://www.policy.monash.edu/policy-bank/academic/education/conduct/plagiarism-policy.html
- Special Consideration; http://www.policy.monash.edu/policy-bank/academic/education/assessment/special-consideration-policy.html
- Grading Scale; http://www.policy.monash.edu/policy-bank/academic/education/assessment/grading-scale-policy.html
- Discipline: Student Policy; http://www.policy.monash.edu/policy-bank/academic/education/conduct/student-discipline-policy.html
- Academic Calendar and Semesters; http://www.monash.edu.au/students/dates/
- Orientation and Transition; http://intranet.monash.edu.au/infotech/resources/students/orientation/

Graduate Attributes Policy

http://www.policy.monash.edu/policy-bank/academic/education/management/monash-graduate-attributes-policy.html

Student services

The University provides many different kinds of support services for you. Contact your tutor if you need advice and see the range of services available at http://www.monash.edu.au/students. For Sunway see http://www.monash.edu.my/Student-services, and for South Africa see http://www.monash.ac.za/current/.

Monash University Library

The Monash University Library provides a range of services, resources and programs that enable you to save time and be more effective in your learning and research. Go to www.lib.monash.edu.au or the library tab in my.monash portal for more information. At Sunway, visit the Library and Learning Commons at http://www.lib.monash.edu.my/. At South Africa visit http://www.lib.monash.ac.za/.
Other Information

Disability Liaison Unit

Students who have a disability or medical condition are welcome to contact the Disability Liaison Unit to discuss academic support services. Disability Liaison Officers (DLOs) visit all Victorian campuses on a regular basis.

Website: http://www.monash.edu/equity-diversity/disability/index.html
Telephone: 03 9905 5704 to book an appointment with a DLO; or contact the Student Advisor, Student Community Services at 03 55146018
at Sunway
Email: dlu@monash.edu
Drop In: Equity and Diversity Centre, Level 1, Building 55, Clayton Campus, or Student Community Services Department, Level 2, Building 2, Monash University, Sunway Campus

Your feedback to Us

Monash is committed to excellence in education and regularly seeks feedback from students, employers and staff. One of the key formal ways students have to provide feedback is through the Student Evaluation of Teaching and Units (SETU) survey. The University’s student evaluation policy requires that every unit is evaluated each year. Students are strongly encouraged to complete the surveys. The feedback is anonymous and provides the Faculty with evidence of aspects that students are satisfied and areas for improvement.

For more information on Monash’s educational strategy, see:

www.monash.edu.au/about/monash-directions and on student evaluations, see:
www.policy.monash.edu/policy-bank/academic/education/quality/student-evaluation-policy.html

Previous Student Evaluations of this Unit

In response to student feedback and staff review of this unit, 2-hour studio-style tutorials held in laboratory workrooms have been introduced to enable hands-on learning and peer interaction.

If you wish to view how previous students rated this unit, please go to